

Compliance and Risk Officer Level 3

Duration: 15-18 months

Funding band: £9,000

Who is this programme suitable for?

We will assess the suitability of each candidate on a **case-by-case** basis before starting the apprenticeship, however, below is some guidance as to what a suitable candidate may look like.

This is an **entry level apprenticeship** suited to those with no prior related qualifications, who are looking to enter the field of risk and compliance. Typical risk and compliance roles may include:

- ✓ **Providing advice around financial crime/fraud**
- ✓ **Analysing data**
- ✓ **Producing reports**
- ✓ **Working on reviews and audits**

There are no specific academic requirements, however if the apprentice does not have an A* - C/ 9-4 grade in their Maths and English GCSE's, they will need to attain Functional Skills Level 2 as part of their apprenticeship prior to reaching EPA.

What does the apprenticeship provide?

Completion of this apprenticeship will provide you with the following Knowledge, Skills and Behaviours:



Risk & compliance framework, risk & compliance policies & procedures, industry & company understanding, systems & processes.



Delivering services, analysis & problem solving, communicating & influencing, teamwork, continuous improvement, personal development.



Honesty & integrity, flexibility, resilience



How does Davies deliver?

Davies provides support through two main learning systems; DLS Thrive and Aptem. This is where the majority of course content can be found. As well as course content, Davies provides support through:



Connect and Learn Sessions



Progress Reviews



1-2-1 coaching



Soft Skills Workshops



Professional Qualification Workshops



End Point Assessment Support

What qualifications are achieved?

Compliance and Risk Officer Level 3

- International Compliance Association (ICA) Pathway
- Chartered Institute of Securities and Investment (CISI) Pathway
- Chartered Insurance Institute (CII) Certificate or Diploma
- The London Institute of Banking and Finance (LIBF) Pathway



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I wholeheartedly recommend Davies Learning Solutions, my learning journey has been clear and supported and I passed first time – thank you!

- Pelumi Ojo, Apprentice



Apply to start or ask for more information

Our team are here to help.

The quickest route is to email learning@davies-group.com or call us on **0208 304 3666**

We look forward to chatting to you soon!

