

Senior Compliance and Risk Specialist

Level 6

Duration: 36-42 months

Funding band: £27,000



What is the background to this programme?

All financial services organisations have to meet a wide range of compliance and risk requirements set out in legislation e.g. data security, or required by regulators e.g. financial crime, operational risk. To meet these requirements many organisations will have dedicated Risk and/or Compliance departments.

The role of the Senior Compliance/Risk Specialist is to provide high quality input and advice to the business in their specialist area(s) of expertise e.g. risk, financial crime, compliance and modelling/analysing data. This is likely to include dealing with complex problems, implementing new legal / regulatory requirements and working with senior managers in the organisation.

What are the entry requirements?

Individual employers will set the selection criteria for their Apprenticeships, however most candidates for this programme will have A-levels or existing, relevant Level 3 qualifications. Other relevant or prior experience may also be considered as an alternative.

What is covered?

- Risk and compliance framework
- Industry and company understanding
- Customers and products
- Systems and processes
- Specialist risk
- Financial crime
- Strategy and planning
- Delivering services
- Analysis and problem solving
- Communication and influence

What qualification is achieved?

The apprentice will complete one appropriate qualification (as considered most relevant by the employer) from one of the following Professional Bodies:

- International Compliance Association - Diploma in Anti Money Laundering; Diploma in Governance, Risk, Compliance; Diploma in Bus Compliance; Diploma in Financial Crime Prevention; Advanced Cert in Anti Money Laundering; Advanced Cert in Practical Customer Due Diligence; Advanced Cert in Compliance; Advanced Cert in Managing Fraud
- Chartered Institute of Securities & Investment – Diploma in Investment Compliance
- London Institute of Banking & Finance – Chartered Associateship Programme
- Chartered Insurance Institute - Advanced Diploma in Insurance; Diploma in Insurance
- Institute of Risk Management – International Certificate in Risk Management; Certificate in Risk Management in FS; International Diploma in Risk Management

Apply to start or ask for more information

Our team are here to help.

The quickest route is to email learning@davies-group.com

We look forward to chatting to you soon!



"I wholeheartedly recommend Davies Learning Solutions, my learning journey has been clear and supported and I passed first time – thank you!"

– Pelumi Ojo, Apprentice