

Financial Services Professional

Level 4

Duration: 36-42 months

Funding band: £18,000



What is the background to this programme?

Financial The Financial Services industry encompasses a broad range of businesses that manage, or consult around managing money. These include retail and corporate banking, investment management, investment consulting, investment banking, mortgages, and life and pensions. The completion of this apprenticeship will enable individuals to become highly competent professionals for the industry. This will be delivered by a base of core knowledge, skills and behaviours required of all individuals entering or progressing to this level in the sector, and a set of specialist options that then provide the occupationally specific competence, depending on the part of the sector and type of business the individual works for.

What is covered?

- Industry and organisation understanding
- Financial services compliance framework
- Products and services
- Client / customer segments
- Systems and processes
- Delivering services
- Strategy and planning
- Problem solving
- Communicating and networking
- Teamwork and collaboration

What qualification is achieved?

Apprentices will be required to take one of the professional qualifications listed below, as chosen by the employer to be most relevant to the selected specialist option and job role:

- Diploma in Treasury Management (Associate Membership - The Association of Corporate Treasurers) or Certificate in International Cash Management (Affiliate Membership - The Association of Corporate Treasurers).
- Advanced Diploma in Retirement Provision (PMI Associate Membership – APMI designation)
- CFA Institute – CFA Level I (Regular Membership of CFA Institute/CFA UK) (with the option to include CFA UK - Investment Management Certificate (IMC designation) or CISI Certificate in Investment Management (ACSI Designation) where these are used as FCA Appropriate Qualifications to demonstrate competence to practice)
- CISI Cert in Private Client Investment Advice & Management - PCIAM L6 (Full CISI Membership: MCSI)
- CII Advanced Diploma in Financial Planning (250 Credits: Associate of the Personal Finance Society: APFS)
- The London Institute of Banking & Finance, Chartered Associate Programme (Chartered Associate Status)
- CISI Diploma in Investment Operations L6 (Full CISI Membership: MCSI)
- Chartered Institute of Credit Management - CICM Level 5 Diploma in Credit Management (CICM Graduate Member: MCICM (Grad) designation)
- Chartered Banker Institute (CBI)

Apply to start or ask for more information

Our team are here to help.

The quickest route is to email learning@davies-group.com

We look forward to chatting to you soon!



"I wholeheartedly recommend Davies Learning Solutions, my learning journey has been clear and supported and I passed first time – thank you!"

– Pelumi Ojo, Apprentice